

Compliance Review Deficiency Codes and Ratings

In determining each finding's rating, the GSFC Compliance Team considered the severity of the finding by weighing whether the finding results in a monetary error, is an administrative error, is possibly correctible, and how the finding directly affects the students or the administration of the program.

Code	Code Description or Example
5 – Fraud by the institution: False certification of an award	
FCS	False Certification (School) – School falsely certifies applications, creates fictitious applicants, etc.
4 – Administrative error that could affect a large population of students that would result in a large monetary liability if not addressed	
GPA	Grade Point Average – Student did not meet GPA requirements, did not have a 3.0 GPA at checkpoint
RFE	Return of Funds Error – Error in calculating the funds to be returned to S & G because of withdrawals or dropping of hours
INV	Incorrect Invoicing – School submitted invoice for wrong amount, wrong number of hours, wrong tier, etc.
RES	Residency – Not a Georgia resident for 12 months, or anything related to Georgia residency
3 – Administrative errors that, although incorrect, may potentially affect a smaller population of students with relatively minimal monetary liability	
SEL	Selective Service – Student not registered for selective service – school error
SAP	Satisfactory Academic Progress – Student not meeting school's SAP requirements
INS	Citizenship Requirement – Any errors relating to citizenship, including PRA card
DEF	Defaulted Student – If there is any indication that the student is in default or owes an overpayment/repayment on a Title IV/State program
NER	Not Enrolled – Student was not enrolled for the term, but was invoiced for and/or received funds
DFA	Drug Free Act – Student is not eligible due to drug conviction, length of time since conviction, etc.
OAW	Over Award – Student was awarded funds that exceeded his/her Cost of Attendance or over Tuition & Fees
ERH	Enrollment Hours – Student was not enrolled in the correct number of hours to be eligible for financial aid program funds (ex. Received LEAP when only enrolled 5 hours)

EXH	Exceeds Hours – Student has exceeded Attempted, Paid, or Combined-Paid limits, or paid over program of study limits
2 – Missing or incorrect documents which could, but not always, result in monetary liability	
ATM	Academic Transcript Missing – Student’s current or previous official college transcripts missing from file – school error
MSG	Missing Scholarship & Grant Application – Student’s file does not contain appropriate S & G Application
MD	Missing Documentation – Student’s file does not contain documentation to support PJ decisions
IPS	Incorrect Program of Study – Student was paid for courses outside of their program of study
1 – Non-monetary exceptions	
IRD	Incorrect Reporting of Data – School invoices and pays student for correct number of hours, but reports the incorrect number of hours on SURFER
BKA	Book Allowance Authorization/Availability – School did not get student authorization to apply book allowance funds to other charges, held funds too long, etc.
BKE	Book Allowance Errors – Incorrect awarding of book allowance (ex. Paid full-time award when only enrolled half-time)